Comparison of complication types and rates associated with anatomic and reverse total shoulder arthroplasty

³ Parada SA, Flurin PH, Wright TW, Zuckerman JD, Elwell JA, Roche CP, Friedman RJ. J

4 *Shoulder Elbow Surg.* 2020 Aug 4:S1058-2746(20)30622-4. doi: 10.1016/j.jse.2020.07.028.

5

1

2

- 6 Abstract
- 7 Introduction

8 Complications after anatomic (aTSA) and reverse (rTSA) total shoulder arthroplasty can be 9 devasting to a patient's quality of life and require revisions which are costly to both the patient and 10 the health care system. The purpose of this study is to the determine the types, incidence and timing 11 of complications following aTSA and rTSA using an international database of patients who 12 received a single platform total shoulder arthroplasty system in order to quantify the types of 13 failures modes and the differences that occur between aTSA and rTSA.

14 <u>Methods</u>

15 2224 aTSA (1090M/1134F) and 4158 rTSA (1478M/2680F) patients were enrolled in an 16 international database of primary shoulder arthroplasty performed by 40 different surgeons in the 17 US/Europe. Adverse events and revisions reported for these 6382 patients were analyzed to 18 identify the most common failure modes associated for both aTSA and rTSA.

19 <u>Results</u>

Of 2224 aTSA patients, 239 adverse events were reported for a complication rate of 10.7% and 124 revisions for a revision rate of 5.6%. The top three complications for aTSA were rotator cuff tear/subscapularis failure (n=69, complication rate=3.1%, revision rate=1.9%), aseptic glenoid

- loosening (n=55, complication rate=2.5%, revision rate=1.9%) and infection (n=28, complication
 rate=1.3%, revision rate=0.8%)
- Of 4158 rTSA patients, 372 adverse events were reported for a complication rate of 8.9% and 104 revisions for a revision rate of 2.5%. The top three complications for rTSA were acromial/scapular fracture/pain (n=102, complication rate=2.5%, revision rate=0.0%), instability (n=60, complication rate=1.4%, revision rate=1.0%) and pain (n=49, complication rate=1.2%, revision rate=0.2%).

30 Conclusions

This large database analysis quantified complication and revision rates for aTSA and rTSA. We found aTSA and rTSA complication rates of 10.7% and 8.9%, respectively; with revision surgery rates of 5.6% and 2.5%, respectively. The two most common complications for each prosthesis type (aTSA: subscapularis/rotator cuff tears; rTSA: acromial/scapular fractures) were unique to each device. The rate of infection was similar for both. Future prosthesis and technique development should work to mitigate these common complication types in order to reduce their rate of occurrence.

38

- 39
- 40 Level of Evidence: Level III, Retrospective Cohort Design; Treatment Study
- 41 Keywords: shoulder arthroplasty; complications in arthroplasty

43 Introduction

Complications after anatomic (aTSA) and reverse (rTSA) total shoulder arthroplasty can be devasting to a patient's quality of life, resulting in recurring pain and impaired function that compromises their ability to perform activities of daily living. Complications can sometimes require revisions which are often costly to both the patient and the health care system, and also subject the patient to additional health risks. Furthermore, the risks of future revisions and complications increase with revision arthroplasty¹².

In recent years, there has been a dramatic increase in the utilization of rTSA, along with a smaller 50 increase in the use of aTSA⁷; as previously described by Routman et al., since 2015, rTSA is more 51 commonly performed in the US than aTSA¹⁶. There are numerous potential reasons for this change 52 in market utilization, including: 1) an increased usage of rTSA for complex humeral fractures in 53 the elderly, 2) an increased usage of rTSA for revision arthroplasty, 3) population-based changes 54 related to an aging baby-boomer population and the associated increased occurrence of rotator cuff 55 56 tears with age, 4) a real-perception that rTSA is a more forgiving procedure relative to aTSA, which can be successful irrespective of the quality of a patient's rotator cuff, which deteriorates 57 with age, and 5) substantial improvement in rTSA prosthesis and technique design since the 58 Grammont prosthesis was introduced into the US market in 2003, which has reduced the initially 59 high complication and revision rates associated with rTSA as reported by Werner et al.¹⁹ and Guery 60 et al.¹¹. These initially high complication rates prompted recommendations to only use rTSA as an 61 end-stage salvage procedure for patients greater than 70 years of age^{11, 19}. 62

More recent studies with contemporary implant designs and techniques have demonstrated that the complication and revision rates are less than those previously published for both aTSA and rTSA, though the relative differences between the two procedures are not well-defined^{2, 8}. Some have

reported increases in complications with rTSA relative to aTSA^{2, 11, 19}, whereas others have reported similar complication and revision rates between procedures⁸. Additionally, differences in complication and revision rates can be different between different aTSA prostheses and can be different between different rTSA prostheses. The purpose of this study is to the determine the types, incidence and timing of complications following aTSA and rTSA using an international database of patients who received a single platform total shoulder arthroplasty system in order to quantify the types of failures modes and the differences that occur between aTSA and rTSA.

73

75 Materials and Methods

2224 primary aTSA (1090M/1134F) and 4158 primary rTSA (1478M/2680F) patients were 76 prospectively enrolled in an international database consisting of one platform total shoulder 77 arthroplasty prosthesis (Equinoxe, Exactech, Inc, Gainesville, FL) utilized by 40 fellowship trained 78 shoulder surgeons in the US and Europe. Patients with revision of a previously placed 79 hemiarthroplasty or total shoulder arthroplasty, or a diagnosis of proximal humerus fracture were 80 excluded. All patients enrolled in this study had data collected using standardized forms; all data 81 collection forms were completed at each surgical site and uploaded onto a secure database. The 82 mean age of the aTSA patient cohort at the time of surgery was 66 years with a mean BMI of 30 83 and a mean follow-up of 34 months. The mean age of the rTSA patient cohort at the time of surgery 84 was 72 years with a mean BMI of 29 and a mean follow-up of 22 months. The mean follow-up for 85 the combined group of 6,382 patients is 26 months. Adverse events and revisions reported for any 86 of these 6382 patients were documented and analyzed to identify the most common failure modes 87 88 associated with each prosthesis type. Complications and revisions were separately analyzed and the time after surgery in which the complication or revision occurred was reported for aTSA and 89 rTSA. A two-tailed unpaired students t-test was used to compare the complication and revision 90 rates for the different failure modes between aTSA and rTSA patients, where p < 0.05 defined 91 significance. 92

93

95 **Results**

For the 2224 aTSA patients, 239 adverse events were reported for a complication rate of 10.7%, 96 which resulted in 124 revisions, for a revision rate of 5.6%. Table 1 describes the detailed break-97 out of complication and revision information for aTSA patients. The most commonly reported 98 complication for aTSA was rotator cuff tear and/or subscapularis failure, which occurred in 69 99 patients (3.1%), of which 42 were revised (1.9%), at a mean follow-up of 23 months. Rotator cuff 100 tear and/or subscapularis failure accounted for 28.9% of all aTSA complications and 33.9% of all 101 revisions. Aseptic glenoid loosening was the 2nd most common aTSA complication, occurring in 102 55 patients (2.5%), of which 43 were revised (1.9%) at a mean follow-up of 56 months. Aseptic 103 glenoid loosening accounted for 23.0% of all aTSA complications and 34.7% of all revisions. 104 Infection was the 3rd most common aTSA complication and was reported in 28 patients (1.3%), 105 of which 18 were revised (0.8%) at a mean follow-up of 19 months. Infection accounted for 11.7% 106 of all aTSA complications and 14.5% of all revisions. Pain was the 4th most common aTSA 107 complication and was reported in 25 patients (1.1%), of which 2 were revised (0.1%), at a mean 108 follow-up of 39 months. Pain accounted for 10.5% of all aTSA complications and 1.6% of all 109 revisions. Other notable complication types and rates were nerve injury (n = 15; complication rate 110 111 = 0.7%, revision rate = 0.1%), instability (n = 14; complication rate = 0.6%, revision rate = 0.5%), aseptic humeral loosening (n = 8; complication rate = 0.4%, revision rate = 0.2%), and humeral 112 fractures (n = 8; complication rate = 0.4%, revision rate = 0.1%). 113

114

For the 4158 rTSA patients, 372 adverse events were reported for a complication rate of 8.9%, which resulted in 104 revisions for a revision rate of 2.5%. Table 2 describes the detailed breakout of complication and revision information for rTSA patients. The most commonly reported

complication for rTSA was acromial & scapula fracture 69 patients (2.5%), of which 0 were 118 revised (0%), at a mean follow-up of 11 months. It should be noted that there were an additional 119 33 patients who reported acromial pain but had no documented fracture on radiographic studies. 120 Since there was no documentation of a fracture, they were not included in the count of 69 patients. 121 Acromial fracture/scapular fracture accounted for 18.5% of all rTSA complications and 0.0% of 122 all revisions. Instability was the 2nd most common rTSA complication occurring in 60 patients 123 (1.4%), of which 40 were revised (1.0%), at a mean follow-up of 16 months. Instability accounted 124 for 16.1% of all rTSA complications and 38.5% of all revisions. Pain was the 3rd most common 125 rTSA complication and was reported in 49 patients (1.2%), of which 7 were revised (0.2%), at a 126 mean follow-up of 11 months. Pain accounted for 13.2% of all rTSA complications and 6.7% of 127 all revisions. Infection was the 4th most common rTSA complication and was reported in 36 128 patients (0.9%), of which 28 were revised (0.7%), at a mean follow-up of 17 months. Infection 129 accounted for 9.7% of all rTSA complications and 26.9% of all revisions. Humeral fracture was 130 the 5th most common rTSA complication and was reported in 36 patients (2.5%), of which 2 were 131 revised (0.9%), at a mean follow-up of 21 months. Humeral fracture accounted for 9.7% of all 132 rTSA complications and 1.9% of all revisions. Aseptic glenoid baseplate loosening was the 6th 133 most common rTSA complication and was reported in 24 patients (0.6%), of which 13 were 134 revised (0.3%), at a mean follow-up of 35 months. Aseptic glenoid loosening accounted for 6.5% 135 of all rTSA complications and 12.5% of all revisions. Other notable complication types and rates 136 were nerve injury (n = 15; complication rate = 0.4%, revision rate = 0%) and aseptic humeral 137 loosening (n = 6; complication rate = 0.1%, revision rate = 0.1%). 138

The complication (Table 3) and revision (Table 4) rates for the most common failure modes 140 between aTSA and rTSA patients are presented in Tables 3 and 4, respectively. Regarding 141 differences in complication rates as described in Table 3, aTSA patients had a significant greater 142 overall complication rate (aTSA = 10.7% vs. rTSA = 8.9%, p=0.0434) and a significantly greater 143 aseptic glenoid loosening rate (aTSA = 2.5% vs. rTSA = 0.6%, p<0.0001) compared to rTSA 144 patients. However, rTSA patients had a significant greater incidence of instability (aTSA = 0.6%145 vs. rTSA = 1.4%, p=0.0029) and a significantly greater humeral fracture rate (aTSA = 0.4% vs. 146 rTSA = 2.5%, p=0.0165) than aTSA patients. Regarding differences in revision rates as described 147 in Table 4, aTSA patients had a significant greater overall revision rate than rTSA patients (aTSA 148 = 5.6% vs. rTSA = 2.5%, p<0.0001) and a significantly greater rate of revisions caused by aseptic 149 glenoid loosening (aTSA = 1.9% vs. rTSA = 0.3%, p<0.0001) as compared to rTSA patients. 150 However, rTSA patients had a significantly greater rate of revisions caused by instability (aTSA 151 = 0.5% vs. rTSA = 1.0%, p=0.0222) as compared to aTSA patients. 152

153

The relative ranking of complications (Table 5) and revisions (Table 6) between aTSA and rTSA 154 is presented in Tables 5 and 6, respectively. As described, causes of complications and revisions 155 were similar between aTSA and rTSA, though a few differences were unique to each procedure. 156 Specifically, rotator cuff failure was the most common complication for aTSA patients and the 2nd 157 most common reason for revision for aTSA patients; however, this failure mode was 158 understandably not observed for any rTSA patients. Conversely, acromial and scapular fractures 159 were the most common complication for rTSA patients, though it was not observed in any aTSA 160 patients. Additionally, the most common cause for revisions was different between aTSA and 161 rTSA patients. Aseptic glenoid loosening was the most common cause for revision of aTSA 162

- patients (by comparison, it was the #3 reason for revisions of rTSA patients), and instability was
- the most common reason for revision in rTSA patients (by comparison, it was the #4 most common
- reason for revision of aTSA patients).
- 166

168 Discussion

This large prospective database analysis of 6,382 patients documents the complication and revision 169 rates associated with aTSA and rTSA using a contemporary single platform total shoulder 170 arthroplasty system utilized for a variety of underlying indications and diagnoses, and quantifies 171 the time to occurrence for the different complications and failure modes. The results of this study 172 demonstrate aTSA is associated with a significant greater overall complication rate compared to 173 rTSA (aTSA = 10.7% vs. rTSA = 8.9%, p=0.0434) and a significant greater overall revision rate 174 (aTSA = 5.6% vs. rTSA = 2.5%, p < 0.0001) compared to rTSA patients. Additionally, the failure 175 modes between aTSA and rTSA were similar in type, though their relative rates were different. 176 Aseptic glenoid loosening was significantly more common with aTSA (2.5%) than rTSA (0.6%)177 and was the most common cause of aTSA revisions (34.7% of all aTSA revisions). Conversely, 178 instability was significantly more common with rTSA (1.4%) than aTSA (0.6%) and was the most 179 common cause of rTSA revisions (38.5% of all rTSA revisions). Interestingly, the most common 180 complication for each prosthesis type (aTSA: subscapularis/rotator cuff tears; rTSA: 181 acromial/scapular fractures) were unique to each device. Of note, the rate of infection was similar 182 for both aTSA (1.3%) and rTSA (0.9%). 183

184

Early reports of rTSA demonstrated high rates of complications compared to aTSA^{6, 9, 19}. As implant designed changed and surgeon experience increased, complication rates have decreased substantially. Specifically, complications such as infection (4.0-6.7%^{6, 18}), hematoma (21%¹⁹), instability (7.5%¹⁸) and need for revision surgery (13-33%^{9, 19}) have all decreased in occurrence from these early reports. The implant in this current study has been previously evaluated as it 190 191

whether or not the subscapularis was repaired¹⁰.

pertains to post-operative instability and found to have a very low dislocation rate (<1.5%),

192

In 2006, Bohsali et al³ conducted a meta-analysis on literature from 1995 to 2006 and reported 414 193 complications after 2,810 aTSA shoulders for a rate of 14.7%, in which loosening accounted for 194 39% of all complications reported. In 2017, Bohsali et al.² conducted a new meta-analysis on the 195 literature from 2006 to 2015 and reported 2,122 complications in 19,262 aTSA and rTSA, for a 196 rate of 7.4%. Comparing the first to the second meta-analysis, the overall complication rate was 197 reduced by half; however, the length of follow-up was also observed to be less. The 7.4% 198 complication rate was similar to the rate reported by Flurin et al.⁸, who compared the outcomes of 199 528 aTSA patients and 617 rTSA patients at a mean follow-up of 40 months (and implanted during 200 the same time-window of Bohsali et al.²) and found that aTSA patients (35 complications in 528 201 shoulders for a rate of 6.6%) had a slightly lower complication rate than rTSA patients (45 202 complications in 617 shoulders for a rate of 7.3%). In our study, at a shorter mean follow-up, we 203 found that rTSA patients had a significantly lower complication rate (aTSA = 10.7% vs. rTSA = 204 8.9%, p=0.0434) and revision rate (aTSA = 5.6% vs. rTSA = 2.5%, p<0.0001) than aTSA patients. 205 Comparing complication frequency for aTSA and rTSA, Bohsali et al.² reported that the 206 complications are different and occur with different frequency, which aligns with our own 207 findings. However, our ranking of complication frequency was different for both aTSA and rTSA 208 from what was reported by Bohsali et al.². They reported that the most common complications 209 after aTSA in order of decreasing frequency were component loosening, glenoid wear, instability, 210 rotator cuff tear, periprosthetic fracture, neural injury, infection, while the most common 211 complications after rTSA in order of decreasing frequency were instability, periprosthetic fracture, 212

infection, component loosening, nerve injury, acromial and/or scapular spine fracture, and
 hematoma.

215

Kiet et al compared outcomes between 47 aTSA and 53 rTSA patients in a prospectively gathered 216 study¹³. They found similar rates of complications and revisions between the two surgeries with 7 217 complications (13.2%) and 5 revisions (9.4%) in the rTSA group and 7 complications (14.9%) and 218 5 revisions (10.6%) in the aTSA group at two years. Complications varied by operation type with 219 the complications in order of decreasing frequency for aTSA being rotator cuff tear, glenoid 220 loosening and infection compared to those following rTSA being fracture, infection and instability. 221 Fractures in the rTSA group included 2 traumatic glenoid fractures after falls and 1 coracoid and 222 1 acromial fracture deemed to be insufficiency or stress fractures. 223

224

Boileau has also reported on his experience with over 800 rTSA with 84 reinterventions and 60 225 revision surgeries in 54 patients^{4, 5}. He found that the most common complications in order of 226 decreasing frequency were instability, infection, humeral complications, fracture and bone defect, 227 glenoid complications and glenoid component loosening and other complications. Scapular 228 fractures were not reported in this series. This contrasts somewhat with the findings by Zumstein 229 et al in a systematic review that identified a problem rate of 44% and a complication rate of $24\%^{20}$. 230 The review by Zumstein et al included a majority of articles published in 2005 or earlier and 231 accordingly found the most common problem to be scapular notching on radiographs and the most 232 common complication of instability (4.7%) followed by infection (4.0%). Barco et al discussed 233 the definitions of "problem" versus "complication" to define events that have a negative effect on 234 outcome after total shoulder arthroplasty¹. The authors point out the variability in articles when 235

defining criteria for a complication. They found acromion and scapular fractures in 0 - 4% in the articles they reviewed with an overall complication rate of primary rTSA to be approximately 15%.

A recent complication profile was reported by Kennon et al, analyzing 90-day complications, reoperations and readmission rates of 636 primary aTSA and 1081 primary rTSA cases over a fiveyear period¹². Two surgeons performed all cases. They found a 90-day complication rate, reoperation rate and readmission rate of 2.3%, 0.6% and 1.8%, respectively. Most readmissions were for medical and not surgical complications.

244

A strength of the current study is the large number of patients included in the analysis. To date, this is the largest study examining complications and revision surgery after aTSA and rTSA. Previous studies of smaller patient cohorts may have been subject to sampling errors, which may explain the difference in results from this study compared to previous ones. This study is also the first of this magnitude that demonstrated the most common complications varied by surgery type.

This study has several limitations. First, we did not analyze complications or revisions by patient 251 diagnosis or perform any sub-analysis by patient comorbidities. Second, we did not attempt to 252 quantify risk factors associated with complications or revisions for either aTSA or rTSA, similar 253 to what was previously conducted by Leschinger et al.¹⁴ and Lu et al.¹⁵ Third, the mean follow-up 254 of our complication analysis is relatively short at 26.0 months and the mean follow-up between 255 aTSA and rTSA patients was different, with aTSA patients having longer follow-up than rTSA 256 patients. We observed that aTSA patients had a greater revision rate than rTSA patients, and this 257 may be due in part to the longer follow-up. Additional and longer follow-up is necessary to better 258

quantify how these complication and revision rates compare between aTSA and rTSA procedures and also quantify how these rates change with longer-term follow-up. Also, we did not analyze scapular notching as a complication in this study as has been performed in smaller studies. Once thought to be an asymptomatic radiographic finding, scapular notching is now known to lead to decreased clinical outcomes over time¹⁷. Finally, this is not a survivorship study, and future work should conduct a survivorship analysis to compare aTSA and rTSA at equivalent post-surgical timepoints.

266

268 Conclusion

This study of 2224 primary aTSA patients and 4,158 primary rTSA patients demonstrates that 269 aTSA is associated with a significantly greater complication and revision rate than rTSA. 270 Numerous rates and times of occurrences were documented for each failure type, along with a 271 relative ranking of failure mode by prosthesis type. This analysis provides the orthopedic surgeon 272 with valuable information related to the relative rates of complications and revisions associated 273 with a modern platform total shoulder arthroplasty system and also their post-surgical time of 274 occurrence. This knowledge is valuable to the surgeon for shared decision making and when 275 obtaining informed consent for this elective procedure, and this knowledge can help establish 276 appropriate patient expectations of risk for aTSA and rTSA. Furthermore, this knowledge is 277 valuable to those involved in the design and development of shoulder implants so that they may 278 direct resources to design better prostheses and improve surgical techniques to mitigate these 279 complications and reduce their rates of occurrence. 280

281

283 References:

1.

2.

284

285

286

287

288	Surg Am. 2017;99(3):256-69.10.2106/JBJS.16.00935
289	3. Bohsali KI, Wirth MA, Rockwood CA, Jr. Complications of total shoulder arthroplasty. J
290	Bone Joint Surg Am. 2006;88(10):2279-92.10.2106/JBJS.F.00125
291	4. Boileau P. Complications and revision of reverse total shoulder arthroplasty. Orthopaedics
292	& Traumatology: Surgery & Research. 2016;102(1, Supplement):S33-
293	S43. <u>https://doi.org/10.1016/j.otsr.2015.06.031</u>
294	5. Boileau P, Melis B, Duperron D, Moineau G, Rumian AP, Han Y. Revision surgery of
295	reverse shoulder arthroplasty. J Shoulder Elbow Surg. 2013;22(10):1359-
296	70.10.1016/j.jse.2013.02.004
297	6. Boileau P, Watkinson D, Hatzidakis AM, Hovorka I. Neer Award 2005: The Grammont
298	reverse shoulder prosthesis: Results in cuff tear arthritis, fracture sequelae, and revision
299	arthroplasty. Journal of Shoulder and Elbow Surgery. 2006;15(5):527-
300	40. <u>https://doi.org/10.1016/j.jse.2006.01.003</u>
301	7. Familiari F, Rojas J, Nedim Doral M, Huri G, McFarland EG. Reverse total shoulder
302	arthroplasty. EFORT Open Rev. 2018;3(2):58-69.10.1302/2058-5241.3.170044
303	8. Flurin PH, Roche CP, Wright TW, Marczuk Y, Zuckerman JD. A Comparison and
304	Correlation of Clinical Outcome Metrics in Anatomic and Reverse Total Shoulder Arthroplasty.
305	Bull Hosp Jt Dis (2013). 2015;73 Suppl 1:S118-23

Barco R, Savvidou OD, Sperling JW, Sanchez-Sotelo J, Cofield RH. Complications in

Bohsali KI, Bois AJ, Wirth MA. Complications of Shoulder Arthroplasty. J Bone Joint

reverse shoulder arthroplasty. EFORT Open Rev. 2017;1(3):72-80.10.1302/2058-5241.1.160003

- 9. Frankle M, Siegal S, Pupello D, Saleem A, Mighell M, Vasey M. The Reverse Shoulder
 Prosthesis for glenohumeral arthritis associated with severe rotator cuff deficiency. A minimum
 two-year follow-up study of sixty patients. J Bone Joint Surg Am. 2005;87(8):1697705.10.2106/JBJS.D.02813
- 10. Friedman RJ, Flurin PH, Wright TW, Zuckerman JD, Roche CP. Comparison of reverse
- total shoulder arthroplasty outcomes with and without subscapularis repair. J Shoulder Elbow
 Surg. 2017;26(4):662-8.10.1016/j.jse.2016.09.027
- Guery J, Favard L, Sirveaux F, Oudet D, Mole D, Walch G. Reverse total shoulder
 arthroplasty. Survivorship analysis of eighty replacements followed for five to ten years. J Bone
 Joint Surg Am. 2006;88(8):1742-7.10.2106/JBJS.E.00851
- Kennon JC, Songy CE, Marigi E, Visscher SL, Larson DR, Borah BJ, et al. Cost analysis
 and complication profile of primary shoulder arthroplasty at a high-volume institution. Journal of
 Shoulder and Elbow Surgery. 2020.<u>https://doi.org/10.1016/j.jse.2019.12.008</u>
- 319 13. Kiet TK, Feeley BT, Naimark M, Gajiu T, Hall SL, Chung TT, et al. Outcomes after
 320 shoulder replacement: comparison between reverse and anatomic total shoulder arthroplasty.
 321 Journal of Shoulder and Elbow Surgery. 2015;24(2):179322 85.https://doi.org/10.1016/j.jse.2014.06.039
- 14. Leschinger T, Raiss P, Loew M, Zeifang F. Total shoulder arthroplasty: risk factors for
 intraoperative and postoperative complications in patients with primary arthritis. J Shoulder Elbow
 Surg. 2017;26(3):e71-e7.10.1016/j.jse.2016.08.001
- 15. Lu Y, Khazi ZM, Patel BH, Agarwalla A, Cancienne J, Werner BC, et al. Big Data in Total
- 327 Shoulder Arthroplasty: An In-depth Comparison of National Outcomes Databases. J Am Acad
- 328 Orthop Surg. 2019.10.5435/JAAOS-D-19-00173

329	16.	Routman HD, Flurin PH, Wright TW, Zuckerman JD, Hamilton MA, Roche CP. Reverse
330	Should	der Arthroplasty Prosthesis Design Classification System. Bull Hosp Jt Dis (2013). 2015;73
331	Suppl	1:S5-14

33217.Simovitch R, Flurin P-H, Wright TW, Zuckerman JD, Roche C. Impact of scapular333notching on reverse total shoulder arthroplasty midterm outcomes: 5-year minimum follow-up.334JournalofShoulderandElbowSurgery.2019;28(12):2301-

335 7.<u>https://doi.org/10.1016/j.jse.2019.04.042</u>

Wall B, Nove-Josserand L, O'Connor DP, Edwards TB, Walch G. Reverse total shoulder
arthroplasty: a review of results according to etiology. J Bone Joint Surg Am. 2007;89(7):147685.10.2106/JBJS.F.00666

Werner CM, Steinmann PA, Gilbart M, Gerber C. Treatment of painful pseudoparesis due
to irreparable rotator cuff dysfunction with the Delta III reverse-ball-and-socket total shoulder
prosthesis. J Bone Joint Surg Am. 2005;87(7):1476-86.10.2106/JBJS.D.02342

Zumstein MA, Pinedo M, Old J, Boileau P. Problems, complications, reoperations, and
revisions in reverse total shoulder arthroplasty: a systematic review. J Shoulder Elbow Surg.
2011;20(1):146-57.10.1016/j.jse.2010.08.001

346 **Table Legends:**

- Table 1. Detailed breakout of Complication & Revision Information for aTSA patients
- Table 2. Detailed breakout of Complication & Revision Information for rTSA patients
- Table 3. Comparison of complication rates between aTSA and rTSA
- Table 4. Comparison of revision rates between aTSA and rTSA
- Table 5. Ranked comparison of relative complication occurrences between aTSA and rTSA
- Table 6. Ranked comparison of relative revision occurrences between aTSA and rTSA

aTSA Patients, n = 2224	Qty	AE Time after Surgery	Number Revised	% Complications of n=2224	Relative % of Complications, n=239	% Revisions of n=2224	Relative % of Revisions, n=124
RC tears and & subscap failure combined	69	22.5 ± 30.5	42	3.1%	28.9%	1.9%	33.9%
Aseptic glenoid loosening	55	55.8 ± 45.1	43	2.5%	23.0%	1.9%	34.7%
Subscapularis failure	35	13.3 ± 17.1	20	1.6%	14.6%	0.9%	16.1%
Rotator cuff tear	34	32.6 ± 38.2	22	1.5%	14.2%	1.0%	17.7%
Infection	28	18.9 ± 26.1	18	1.3%	11.7%	0.8%	14.5%
Pain - Combined	25	38.7 ± 47.3	2	1.1%	10.5%	0.1%	1.6%
Nerve injury	15	1.1 ± 2.9	1	0.7%	6.3%	0.0%	0.8%
Pain, persistent	15	51.6 ± 56.2	2	0.7%	6.3%	0.1%	1.6%
Instability	14	19.5 ± 36.4	10	0.6%	5.9%	0.5%	8.1%
Pain after fall	10	19.3 ± 18.8	0	0.4%	4.2%	0.0%	0.0%
Aseptic humeral loosening	8	41.5 ± 21.3	5	0.4%	3.3%	0.2%	4.0%
Humeral fracture, intraoperative	4	NA	0	0.2%	1.7%	0.0%	0.0%
Humeral Fracture, Periprosthetic	4	49.0 ± 25.5	1	0.2%	1.7%	0.1%	0.8%

 Table 1. Detailed breakout of Complication & Revision Information for aTSA patients

aTSA = anatomic total shoulder arthroplasty; RC = rotator cuff; subscap = subscapularis; Qty = quantity; AE = adverse events

rTSA Patients, n = 4158	Qty	AE Time after Surgery	Number Revised	% Complication of n=4158	Relative % Complications, n = 372	% Revisions of n=4158	Relative % Revisions, n = 104
Acromial and Scapular Fx	69	11.3 ± 14.2	0	1.7%	18.5%	0.0%	0.0%
Instability	60	15.6 ± 23.1	40	1.4%	16.1%	1.0%	38.5%
Pain combined	49	10.5 ± 12.9	7	1.2%	13.2%	0.2%	6.7%
Acromial Fracture	48	9.8 ± 11.8	0	1.2%	12.9%	0.0%	0.0%
Infection	36	16.6 ± 19.2	28	0.9%	9.7%	0.7%	26.9%
Pain, persistent	33	8.8 ± 9.7	4	0.8%	8.9%	0.1%	3.9%
Aseptic glenoid loosening	24	34.6 ± 32.8	13	0.6%	6.5%	0.3%	12.5%
Scapular fracture	21	14.9 ± 18.5	0	0.5%	5.6%	0.0%	0.0%
Humeral Fracture, Periprosthetic	17	33.9 ± 29.2	1	0.4%	4.6%	0.0%	1.0%
Pain after fall	16	14.2 ± 17.7	3	0.4%	4.3%	0.1%	2.9%
Nerve injury	15	2.1 ± 3.7	0	0.4%	4.0%	0.0%	0.0%
Humeral fracture, intraoperative	13	NA	0	0.3%	3.5%	0.0%	0.0%
Aseptic humeral loosening	6	27.4 ± 21.4	4	0.1%	1.6%	0.1%	3.9%
Humeral Fractures, nonspecific	6	$\begin{array}{c} 29.4 \pm \\ 28.2 \end{array}$	1	0.1%	1.6%	0.0%	1.0%
Acromial Pain	5	3.0 ± 1.8	0	0.1%	1.3%	0.0%	0.0%
Coracoid Fracture	5	17.8 ± 33.9	0	0.1%	1.3%	0.0%	0.0%
Humeral Liner and/or Tray Disassociation	5	46.4 ± 19.2	5	0.1%	1.3%	0.1%	4.8%
Clavicle fracture	2	10.0 ± 12.5	0	0.0%	0.5%	0.0%	0.0%
Glenosphere Disengagement	2	0.3 ± 0.4	1	0.0%	0.5%	0.0%	1.0%

Table 2. Detailed breakout of Complication & Revision Information for rTSA patients

rTSA = reverse total shoulder arthroplasty; Fx = fracture; Qty = quantity; AE = adverse events

Complication Name	aTSA Complication Rate	rTSA Complication Rate	P Value
Overall Complication Rate	10.7%	8.9%	0.0434*
Aseptic Glenoid Loosening	2.5%	0.6%	<0.0001*
Instability	0.6%	1.4%	0.0029*
Pain	1.1%	1.2%	0.7696
Infection	1.3%	0.9%	0.1605
Humeral Fracture	0.4%	2.5%	0.0165*
Aseptic humeral loosening	0.4%	0.1%	0.0886

Table 3. Comparison of complication rates between aTSA and rTSA

* = denotes P < 0.05

aTSA = anatomic total shoulder arthroplasty; rTSA = reverse total shoulder arthroplasty

Table 4. Comparison of revision	rates between aTSA and rTSA
--	-----------------------------

Revision Name	aTSA Revision Rate	rTSA Revision Rate	P Value
Overall Revision Rate	5.6%	2.5%	<0.0001*
Aseptic Glenoid Loosening	1.9%	0.3%	<0.0001*
Instability	0.5%	1.0%	0.0222*
Pain	0.1%	0.2%	0.4081
Infection	0.8%	0.7%	0.5958
Humeral Fracture	0.1%	0.9%	0.9403
Aseptic humeral loosening	0.2%	0.1%	0.2059

* = denotes P < 0.05

aTSA = anatomic total shoulder arthroplasty; rTSA = reverse total shoulder arthroplasty

Table 5. Ranked comparison of relative complication occurrences between aTSA and rTSA

Complication Name	aTSA Complication Rank	rTSA Complication Rank	
Rotator Cuff Failure	1	NA	
Acromial & Scapular Fractures	NA	1	
Instability	6	2	
Pain	4	3	
Infection	3	4	
Humeral Fracture	8	5	
Aseptic Glenoid Loosening	2	6	
Nerve Injury	5	7	
Aseptic humeral loosening	7	8	

aTSA = anatomic total shoulder arthroplasty; rTSA = reverse total shoulder arthroplasty; NA = not applicable

Cause of Revision	aTSA Revision Rank	rTSA Revision Rank
Rotator Cuff Failure	2	NA
Humeral Liner Disassociation	NA	5
Instability	4	1
Pain	6	4
Infection	3	2
Humeral Fracture	7	7
Aseptic Glenoid Loosening	1	3
Nerve Injury	7	NA
Aseptic humeral loosening	5	6

Table 6. Ranked comparison of relative revision occurrences between aTSA and rTSA

aTSA = anatomic total shoulder arthroplasty; rTSA = reverse total shoulder arthroplasty; NA = not applicable