

1 **Quantifying Success after Total Shoulder Arthroplasty: the Substantial Clinical Benefit**

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6 ABSTRACT

7 Background: An understanding of the substantial clinical benefit (SCB) after total shoulder
8 arthroplasty(TSA) may help to gauge a minimum threshold beyond which a patient perceives their
9 outcome as being substantially better. This study quantifies SCB for seven outcome metrics and
10 active motion measurements after shoulder arthroplasty and determines how these values vary
11 based on prosthesis type, patient age at surgery, gender, and length of follow-up.

12 Methods: 1,568 shoulder arthroplasties with 2 year minimum follow-up were performed by 13
13 shoulder surgeons and enrolled in a multicenter registry. The SCB for the ASES, Constant, UCLA,
14 SST, SPADI, global shoulder function, and VAS pain scores as well as active abduction, flexion,
15 and external rotation were calculated for different patient cohorts using an anchor-based method.

16 Results: The anchor-based SCB for the ASES score = 31.5 ± 2.0 , Constant score = 19.1 ± 1.7 ,
17 UCLA score = 12.6 ± 0.5 , SST score = 3.4 ± 0.3 , SPADI score = 45.4 ± 2.2 , global shoulder
18 function = 3.1 ± 0.2 , VAS = 3.2 ± 0.3 , active abduction = 28.5 ± 3.1 , active forward flexion = 35.4
19 $\pm 3.5^\circ$, and active external rotation = $11.7 \pm 1.9^\circ$. aTSA patients, male patients, and patients of
20 longer follow-up duration were associated with higher SCB values as compared to females, rTSA,
21 and patients of shorter follow-up duration.

TSA: Substantial Clinical Benefit

22 Conclusion: Our analysis demonstrated 2/3 of patients achieved the SCB threshold after TSA.

23 Generally, a change of 30% of the total possible score for each outcome metric approximates or

24 exceeds this SCB threshold.

25 Level of Evidence: II

26 Key Words: Substantial Clinical Benefit, SCB, anatomic total shoulder arthroplasty, reverse total

27 shoulder arthroplasty, shoulder arthroplasty, shoulder replacement

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29 INTRODUCTION

30 Usage of total shoulder arthroplasty has steadily increased over time and has found broad
31 indications for degenerative joint disease and rotator cuff insufficiency. The outcomes associated
32 with total shoulder arthroplasty, including anatomic total shoulder (aTSA) and reverse shoulder
33 arthroplasty (rTSA) have been demonstrated to be reliably favorable and durable.^{3-8, 13-15, 17,19,20, 24,}
34 ^{25, 27} Most clinical studies have examined patient-reported and objective measures in the context
35 of statistical significance. However, assessment of outcome based only on statistical evaluation
36 can be prone to statistical error as the determination is heavily influenced by sample size and other
37 study-power related variables.¹¹ Furthermore, statistical significance does not necessarily correlate
38 with clinical relevance or what is perceived to be important or satisfactory to the patient.

39 To evaluate outcomes in the context of what is clinically-relevant to the patient, the concept
40 of Minimal Clinically Important Difference (MCID) was introduced by Jaeschke et al.¹⁰ in 1989.
41 MCID defines the minimum threshold over which a patient has determined their clinical outcome
42 to be beneficial and meaningful. This has been applied to the study of clinical metric outcomes for
43 the nonoperative management of rotator cuff tears and after total shoulder arthroplasty.^{18, 21-23, 26}
44 While MCID describes the minimum value for meaningful improvement, substantial clinical
45 benefit (SCB) describes the value for substantial improvement.^{9, 12} SCB was first described by
46 Glassman et al.⁹ as the value where patients exceed the minimum threshold of improvement. Their
47 premise was that orthopedic surgeons do not seek results that meet a minimum threshold but
48 instead, results that exceed that minimal threshold. Werner et al.²⁶ has described Substantial
49 Clinical Benefit (SCB) values after shoulder arthroplasty for the American Shoulder and Elbow
50 Surgeons (ASES) score. To date, this is the only study which has examined SCB values for clinical
51 outcome metrics after shoulder arthroplasty, though SCB has also been defined for the Disabilities

52 of the Arm, Shoulder and Hand (DASH) and Pennsylvania Shoulder Score following rehabilitation
53 for shoulder impingement.¹²

54 The ability to differentiate MCID and SCB metric values after shoulder arthroplasty is
55 useful, as it helps identify the denominator of a cost:benefit ratio for the appropriateness of
56 performing a shoulder arthroplasty, it aids counseling patients pre-operatively, and also helps
57 interpret clinical outcome studies at various follow-up intervals. While we previously reported on
58 the MCID values for the ASES, Constant, SST, SPADI, UCLA, VAS and global shoulder function
59 scores as well as active range of motion after shoulder arthroplasty¹⁸, the purpose of this study is
60 to determine the SCB values for those same metrics. Furthermore, we will quantify the effect of
61 prosthesis type, patient age at the time of surgery, gender, and length of follow-up on the SCB for
62 each of the aforementioned outcome metrics.

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65 METHODS

66 This was a retrospective outcome study focused on patients treated with aTSA and rTSA
67 who were enrolled in a multicenter international registry by 13 fellowship-trained shoulder
68 surgeons. Two thousand fifty-seven patients undergoing total shoulder arthroplasty were enrolled
69 between February 2001 and February 2015. For the purposes of this study, inclusion criteria was
70 any aTSA performed for osteoarthritis (OA) or rheumatoid arthritis (RA) or any rTSA performed
71 for cuff tear arthropathy (CTA) or OA with a rotator cuff tear with greater than 2 year follow-up.
72 Exclusion criteria were all cases performed for fracture as well as revisions. The application of all
73 inclusion and exclusion criteria yielded a study population of 1,856 patients (average age = $69.6 \pm$
74 8.8 yrs) of which 911 were aTSA (488F/423M; average age = 66.5 ± 9.1 yrs) and 945 were rTSA
75 (610F/335M; average age = 72.5 ± 7.5 yrs). The average follow-up was 44.9 ± 23.8 months (range:
76 24-157), where the average follow-up for aTSA patients was 49.7 ± 27.5 months and the average
77 follow-up for rTSA patients was 40.2 ± 18.6 months.

78 Each patient was evaluated pre-operatively and at latest follow-up with seven metrics:
79 ASES, Constant, SST, SPADI, UCLA, VAS pain, and global shoulder function scores.
80 Additionally, the procedural surgeon, physical therapist, or research coordinator measured active
81 range of motion (flexion, abduction, external rotation) and strength pre-operatively and at latest
82 follow-up. Substantial effort was made to standardize the method of data collection. Range of
83 motion was assessed with the patient standing, using a goniometer. The difference between each
84 pre-operative and latest follow-up metric score and range of motion measurement was recorded as
85 improvement.

86 At latest follow-up, a global anchor question was also asked: each patient rated their
87 shoulder as “worse, unchanged, better, or much better” relative to their pre-operative condition.

88 We quantified the SCB as the minimum difference in pre-to-post-operative outcome that resulted
89 in a patient describing their treatment as "much better" as compared to "worse" or "unchanged".
90 As a result, patients who responded as being "better" were excluded because their treatment did
91 not meet this minimum threshold for substantial clinical benefit. The mean outcome metrics at
92 latest follow-up for the unchanged group ("worse" + "unchanged") and the changed group ("much
93 better") were compared to the mean pre-operative metrics for each group to quantify the
94 improvement associated with each group for a given metric. The SCB for each metric was then
95 calculated as the difference in mean improvement between groups. Finally, the study cohort was
96 stratified according to 4 different variables: prosthesis type, patient age, gender, and follow-up
97 duration to determine their effect on SCB. In order to compare the SCB of 5 metric scores with
98 different ranges (ASES, Constant, UCLA, SST, SPADI), those without a 100 point scale were
99 normalized to a 100 point scale (SST: $\text{Score} * 100 / 12$, UCLA: $\text{Score} * 100 / 35$, and SPADI:
100 $\text{Score} * (100 / 130)$).

101 A two-tailed, unpaired t-test identified statistical differences between pre-operative, post-
102 operative, and pre-to-post-operative improvement values for all metrics. Statistical significance
103 was set at $p < 0.05$. We also used 95% confidence intervals to compare differences in SCB for each
104 metric and for each study cohort.

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107 RESULTS

108 The distribution of the 1,856 patient responses to the global anchor question at latest
109 follow-up is described in Table 1, stratified according to the 4 variables: prosthesis type, patient
110 age, gender, and follow-up duration. Additionally, the clinical improvement at latest follow-up for
111 aTSA (Table 2) and rTSA (Table 3) patients for each outcome metric and range of motion
112 measurement is presented, stratified according to the 4 variables: prosthesis type, patient age,
113 gender, and follow-up duration. Regarding the anchor question, 90.4% of patients responded as
114 being "much better" (n = 1390) or "better" (n = 288) after total shoulder arthroplasty, with only
115 9.6% of patients responding as being "unchanged" (n=113) or "worse" (n = 65) after treatment.
116 We excluded the 288 patients who responded as only "better", as their result was not utilized in
117 the SCB determination, this yielded 1,568 patients for this SCB analysis, having an average follow-
118 up of 44.2 ± 23.4 months (range: 24-157).

119 The SCB values for the combined aTSA + rTSA cohort were ASES score = 31.5 ± 2.0
120 [95% CI = 31.4 to 31.6], Constant score = 19.1 ± 1.7 [95% CI = 19.0 to 19.2], UCLA score =
121 12.6 ± 0.5 [95% CI = 12.58 to 12.63], SST score = 3.4 ± 0.3 [95% CI = 3.39 to 3.42], SPADI
122 score = 45.4 ± 2.2 [95% CI = 45.3 to 45.5], global shoulder function = 3.1 ± 0.2 [95% CI = 3.09
123 to 3.11], VAS = 3.2 ± 0.3 [95% CI = 3.19 to 3.22], active abduction = $28.5 \pm 3.1^\circ$ [95% CI =
124 28.4 to 28.7], active forward flexion = $35.4 \pm 3.5^\circ$ [95% CI = 35.2 to 35.6], and active external
125 rotation = $11.7 \pm 1.9^\circ$ [95% CI = 11.6 to 11.8]. The percentage of change for the SCB value
126 relative to the maximum score for the ASES, Constant, UCLA, SST, SPADI, global shoulder
127 function and pain VAS metrics were 31%, 19%, 36%, 28%, 35%, 31% and 32% respectively
128 with an average change of 30%. Thus, a change in 30% of the maximum possible score for each
129 outcome metric approximates or exceeds the SCB after total shoulder arthroplasty.

130 Applying these anchor-based SCB thresholds to the overall dataset of 1,856 patients
131 demonstrated that 79.5% of patients achieved the SCB for the ASES score, 84.9% achieved the
132 SCB for the Constant score, 81.3% achieved the SCB for the UCLA score, 81.7% achieved the
133 SCB for the SST score, and 73.4% achieved the SCB for the SPADI score. Additionally, 66.8%
134 of patients achieved the SCB for the global shoulder function score and 71.6% achieved the SCB
135 for the pain VAS score. Finally, 65.3% of patients achieved the SCB for active abduction, 62.0%
136 achieved the SCB for active forward flexion, and 69.2% achieved the SCB for active external
137 rotation.

138 Tables 4, 5, 6 and 7 present the anchor-based SCB scores for each metric stratified
139 according to prosthesis type, patient age, gender, and length of follow-up, respectively. Figure 1
140 graphically represents how ASES SCB varies for these four variables, as an example. To permit a
141 more direct comparison of SCB between metrics, the SPADI, UCLA, and SST scores were
142 normalized to a 100 point scale, like the ASES and Constant scores: ASES = 31.5 ± 2.0 , Constant
143 = 19.1 ± 1.7 , UCLA = 36.0 ± 1.4 , SST = 28.3 ± 2.5 , and SPADI = 34.9 ± 1.7 ; doing so,
144 demonstrated the UCLA had the largest relative SCB value whereas the Constant had the smallest.

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147 DISCUSSION

148 MCID values for various outcome metrics after total shoulder arthroplasty has been
149 reported in several different studies.^{18, 21-23,26} However, SCB values have only been reported in one
150 previous study.²⁶ Werner et al.²⁶ reported on the SCB value for the ASES metric but not for any
151 other metric or range of motion measurement after total shoulder arthroplasty. SCB differs from
152 MCID as it represents the minimum improvement threshold of a given metric necessary to achieve
153 a substantial clinical benefit as reported by the patient, as opposed to only a minimum threshold
154 for a patient to perceive a meaningful change by a given treatment. Said another way, SCB
155 represents a target level of improvement, whereas, MCID represents a floor threshold.⁹
156 Differentiating between minimal benefit and substantial benefit to a patient after total shoulder
157 arthroplasty is important for quantifying outcome success and also value to a patient.

158 We previously reported on the MCID values for ASES = 13.6 ± 2.3 [95% CI = 13.4 to
159 13.8], Constant = 5.7 ± 1.9 [95% CI = 5.5 to 5.9], SST = 1.5 ± 0.3 [95% CI = 1.4 to 1.6], SPADI
160 = 20.6 ± 2.6 [95% CI = 20.4 to 20.8], UCLA = 8.7 ± 0.6 [95% CI = 8.6 to 8.8], VAS = 1.6 ± 0.3
161 [95% CI = 1.57 to 1.63], global shoulder function = 1.4 ± 0.3 [95% CI = 1.37 to 1.43], and range
162 of motion (active abduction = $6.7 \pm 3.6^\circ$ [95% CI = 6.4 to 7.0°]; active forward flexion = $11.6 \pm$
163 4.1° [95% CI = 11.2 to 12.0°]; active external rotation = $3.2 \pm 2.3^\circ$ [95% CI = 3.0 to 3.4°])
164 following aTSA and rTSA.¹⁸ The SCB values were approximately double the MCID values for
165 ASES, Constant, SST, SPADI, UCLA, VAS pain, and global shoulder function scores in the same
166 cohort of patients. Additionally, these SCB values were two to three times the MCID values for
167 active abduction, flexion, and external rotation as determined in the same cohort. Furthermore, our
168 analysis revealed that an average improvement of 30% of the total metric value for each of the
169 seven outcome metrics evaluated in this study would achieve or exceed the SCB threshold; this

170 information has widespread application for shoulder surgeons counseling their patients regarding
171 expectations after total shoulder arthroplasty.

172 The SCB value for the ASES metric reported in this study (31.5 ± 2.0 [95% CI = 31.4 to
173 31.6]) was similar to that reported by Werner et al.²⁶ (36.6 ± 3.8 (95% CI, 29.1 – 44.1), being only
174 16% different. There are several explanations for the variability between these two studies.
175 Differences in the SCB for the ASES metric is most likely due to the slightly different anchor
176 questions utilized between the two studies and also due to the larger sample size in our study (1568
177 vs 490 patients). However, the difference between the ASES SCB values may also be due to
178 differences in the study cohorts related to the frequency of prosthesis type (e.g. aTSA vs rTSA),
179 gender distribution, differences in patient age, and different durations of follow-up.

180 In our study, prosthesis type, patient age, gender, and follow-up duration were all
181 associated with variation in the SCB for each metric, with the most significant differences observed
182 in prosthesis type and follow-up duration. aTSA, males, and patients with longer follow-up
183 duration demonstrated higher SCB values for nearly every metric compared to their rTSA, female,
184 and shorter follow-up duration counterparts, respectively. Age at the time of surgery demonstrated
185 a variable effect on the SCB. The SCB values for ASES, Constant, UCLA, VAS and active flexion
186 and external rotation peaked in the 60-70year-old cohort compared to the younger and older
187 cohorts. This trend was not seen for the other metrics studied. The variation noted in SCB for each
188 metric when stratified according to prosthesis type, age, gender, and length of follow-up
189 demonstrates that SCB values cannot be indiscriminately applied to other studies which may be
190 comprised of patients with different proportions of gender and prosthesis type as well as age
191 distribution and length of follow-up attributes.

192 Based on a comparison of the normalized SCB scores, the Constant score had a
193 substantially lower SCB value while the other metrics showed little variability relative to each
194 other. This finding emphasizes that different metrics utilized across and within studies cannot be
195 conflated without the possibility of introducing error.

196 The ability to differentiate between MCID and SCB after total shoulder arthroplasty is
197 useful for patients and healthcare providers to establish patient expectations for improvement in
198 outcomes and also to assess the possible benefits associated with total shoulder arthroplasty
199 relative to its financial cost. There has been greater focus on quality measures and patient reported
200 outcome measures due to pay for performance and bundled payment initiatives.^{1, 2, 16} The clinical
201 benefit of total shoulder arthroplasty as perceived by the patient must be factored into this
202 cost/benefit equation. This economic evaluation of total shoulder arthroplasty outcomes and the
203 associated MCID and SCB thresholds for the different outcome metrics stratified according to
204 prosthesis type, patient age, gender, and follow-up duration can aid in this determination. This
205 information is useful for patient counseling regarding expectations for improvement after surgery
206 as well. Assuming the results and trends of this large-scale outcomes study of 1568 patients from
207 13 shoulder surgeons is representative and translatable, then these results are generalizable: 2/3 of
208 patients receiving total shoulder arthroplasty will be satisfied with their outcomes and achieve a
209 30% increase in the maximum possible score of a given outcome metric, thereby meeting or
210 exceeding the SCB threshold.

211 There are several limitations to this study. The calculation of SCB utilizes an anchor
212 question. The choice of anchor question can influence the stratification of results and hence the
213 value obtained for SCB. The anchor question utilized in our study is slightly different from the
214 anchor question utilized by Werner et al.²⁶ Future endeavors should evaluate the effect of different

215 anchor questions on SCB variation for outcome metrics and triangulate towards the ideal anchor
216 question for total shoulder arthroplasty. Additionally, this study utilized data from an international
217 multicenter registry using one particular platform shoulder prosthesis, which is subject to
218 enrollment bias. The results obtained from our analysis may not be generalizable to all implant
219 systems. However, the use of such a registry has several distinct advantages for this study.
220 Primarily, the registry provides a substantially larger cohort of patients than the previous analysis
221 of SCB after shoulder arthroplasty and permits a simultaneous evaluation of multiple metrics, as
222 compared to the Werner et al.²⁶ study which solely evaluated the SCB for ASES score.
223 Additionally, the registry enrolled patients from 13 shoulder surgeons from high volume academic
224 and community practices, increasing the likelihood that the SCB values derived from this data is
225 applicable across shoulder arthroplasty patients in general, and not just those undergoing surgery
226 in a particular practice or setting. Finally, the average follow-up of this study (44.2 ± 23.4 months)
227 was relatively short-term, with only 13.5% of patients having >72 months follow-up; additional
228 and longer term follow-up is necessary to confirm these findings and better understand how SCB
229 changes with follow-up duration after total shoulder arthroplasty.

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233 CONCLUSION

234 We identified the values for substantial clinical benefit of seven outcome metrics and three
235 ranges of active motion measurements after total shoulder arthroplasty. Our analysis demonstrated
236 that approximately 2/3 of the patients studied achieved the SCB threshold for the outcome metrics.
237 Additionally, a change of approximately 30% of the total possible score of each of seven metrics
238 (ASES, Constant, UCLA, SST, SPADI, global shoulder function, and pain VAS) approximates or
239 exceeds SCB after total shoulder arthroplasty. Finally, SCB was higher for aTSA as compared to
240 rTSA, higher for males as compared to females, and patients of longer follow-up duration as
241 compared to those of shorter follow-up duration.

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336 LEGEND

337 **Figure Legend**

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339 **Figure 1.** Graphical demonstration of SCB stratified according to time of follow-up, age, gender,
340 and prosthesis type for ASES score.

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343 **Table Legend**

344 **Table 1.** Distribution of the anchor question response for each cohort.

345 **Table 2.** Average pre-to-post outcome improvement for the aTSA cohort at latest follow-up,
346 stratified by the anchor question response (n=911).

347 **Table 3.** Average pre-to-post outcome improvement for the rTSA cohort at latest follow-up,
348 stratified by the anchor question response (n=945).

349 **Table 4.** SCB stratified according to prosthesis type.

350 **Table 5.** SCB stratified according to age at time of surgery.

351 **Table 6.** SCB stratified according to gender.

352 **Table 7.** SCB stratified according to length of follow-up.

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Patient Satisfaction	% of aTSA Cohort	% of rTSA Cohort	% of Female Cohort	% of Male Cohort	% <60yro Cohort	% 60-70yro Cohort	70-80 yro Cohort	>80yro Cohort	<36 month follow-up cohort	36-72 month follow-up cohort	>72 month follow-up cohort
Worse	38 of 911 (4.2%)	27 of 945 (2.9%)	40 of 1097 (3.6%)	25 of 754 (3.3%)	14 of 236 (5.9%)	25 of 624 (4.0%)	23 of 769 (3.0%)	3 of 225 (1.3%)	25 of 839 (3.0%)	26 of 763 (3.4%)	14 of 243 (5.8%)
Unchanged	57 of 911 (6.3%)	56 of 945 (5.9%)	59 of 1097 (5.4%)	52 of 754 (6.9%)	26 of 236 (11.0%)	35 of 624 (5.6%)	44 of 769 (5.7%)	8 of 225 (3.6%)	45 of 839 (5.4%)	45 of 763 (5.9%)	22 of 243 (9.1%)
Better	127 of 911 (13.9%)	161 of 945 (17.0%)	185 of 1097 (16.9%)	102 of 754 (13.5%)	48 of 236 (20.3%)	88 of 624 (14.1%)	122 of 769 (15.9%)	29 of 225 (12.9%)	113 of 839 (13.5%)	121 of 763 (15.9%)	53 of 243 (21.8%)
Much Better	689 of 911 (75.6%)	701 of 945 (74.2%)	813 of 1097 (74.1%)	575 of 754 (76.3%)	148 of 236 (62.7%)	476 of 624 (76.3%)	580 of 769 (75.4%)	185 of 225 (82.2%)	656 of 839 (78.2%)	571 of 763 (74.8%)	154 of 243 (63.4%)

Table 1. Distribution of the anchor question response for each cohort

Improvement	ASES	Constant	UCLA	SST	SPADI	VAS	Shoulder Function	Active Abduction	Active Forward Flexion	Active External Rotation
Worse	3.3 ± 21.0	4.9 ± 19.5	0.2 ± 5.1	2.2 ± 2.7	14.0 ± 27.1	0.2 ± 3.1	0.7 ± 2.2	5.3 ± 42.3	-1.0 ± 48.5	12.1 ± 23.4
Unchanged	28.2 ± 22.3	18.5 ± 17.5	5.7 ± 5.6	4.3 ± 3.5	34.1 ± 23.6	-3.4 ± 2.9	1.5 ± 3.2	18.7 ± 36.7	15.6 ± 36.9	17.7 ± 22.9
Better	34.7 ± 21.6	25.9 ± 17.5	14.0 ± 5.7	5.3 ± 3.1	46.5 ± 24.1	-3.6 ± 3.0	2.9 ± 2.7	26.8 ± 37.9	31.4 ± 42.7	29.7 ± 24.5
Much Better	55.3 ± 17.5	38.5 ± 12.6	18.6 ± 4.4	7.2 ± 2.8	73.4 ± 23.8	-5.7 ± 2.3	5.0 ± 2.2	49.0 ± 36.3	53.8 ± 33.2	35.3 ± 21.7
All aTSA Patients	49.0 ± 22.6	34.5 ± 16.2	16.7 ± 6.4	6.7 ± 3.2	65.0 ± 29.0	-5.1 ± 2.8	4.4 ± 2.7	41.9 ± 39.0	45.6 ± 39.0	32.2 ± 23.1

Table 2. Average pre-to-post outcome improvement for the aTSA cohort at latest follow-up, stratified by the anchor question response (n=911)

Improvement	ASES	Constant	UCLA	SST	SPADI	VAS	Shoulder Function	Active Abduction	Active Forward Flexion	Active External Rotation
Worse	16.2 ± 23.9	14.0 ± 18.7	5.8 ± 6.0	2.3 ± 2.8	15.3 ± 28.9	-1.6 ± 3.7	1.6 ± 2.2	22.7 ± 39.9	20.0 ± 47.4	10.6 ± 25.0
Unchanged	30.5 ± 25.7	31.3 ± 23.5	8.7 ± 6.1	4.9 ± 4.4	31.0 ± 28.9	-3.2 ± 2.8	2.8 ± 3.2	31.9 ± 34.8	42.6 ± 35.5	20.5 ± 21.9
Better	35.9 ± 20.2	25.4 ± 15.1	14.8 ± 5.2	5.3 ± 3.5	45.4 ± 27.0	-4.0 ± 2.8	3.4 ± 2.7	27.0 ± 36.0	32.4 ± 40.2	11.9 ± 21.9
Much Better	51.5 ± 17.2	39.3 ± 14.6	18.2 ± 4.5	7.2 ± 2.8	68.1 ± 23.9	-5.2 ± 2.3	4.8 ± 2.4	48.5 ± 38.1	57.6 ± 43.0	20.8 ± 24.9
All rTSA Patients	46.5 ± 20.6	35.8 ± 16.7	16.7 ± 5.7	6.6 ± 3.3	61.2 ± 28.0	-4.8 ± 2.6	4.4 ± 2.6	43.0 ± 38.7	51.3 ± 43.6	18.9 ± 24.5

Table 3. Average pre-to-post outcome improvement for the rTSA cohort at latest follow-up, stratified by the anchor question response (n=945)

Outcome Metric	SCB rTSA [95% CI]	SCB aTSA [95% CI]
ASES	25.9 ± 2.9 [25.7 to 26.1]	37.6 ± 2.6 [37.4 to 37.8]
Constant	13.6 ± 2.6 [13.4 to 13.8]	25.4 ± 2.0 [25.3 to 25.5]
UCLA	10.4 ± 0.7 [10.3 to 10.5]	15.0 ± 0.6 [14.96 to 15.04]
SST	3.2 ± 0.5 [3.17 to 3.24]	3.7 ± 0.4 [3.67 to 3.73]
SPADI	42.7 ± 3.4 [42.5 to 42.9]	48.3 ± 2.9 [48.1 to 48.5]
VAS	2.6 ± 0.4 [2.57 to 2.63]	3.8 ± 0.4 [3.77 to 3.83]
Shoulder Function	2.4 ± 0.3 [2.38 to 2.42]	3.9 ± 0.3 [3.88 to 3.92]
Active Abduction	19.6 ± 4.3 [19.3 to 19.9]	36.1 ± 4.3 [35.8 to 36.4]
Active Forward Flexion	22.3 ± 4.8 [22.0 to 22.6]	45.5 ± 4.6 [45.2 to 45.8]
Active External Rotation	3.6 ± 2.7 [3.4 to 3.8]	20.1 ± 2.5 [19.9 to 20.3]

Table 4. SCB stratified according to prosthesis type

Outcome Metric	SCB < 60 yo [95% CI]	SCB 60-70 yo [95% CI]	SCB 70-80 yo [95% CI]	SCB > 80 yo [95% CI]
ASES	31.0 ± 4.0 [30.4 to 31.6]	37.5 ± 2.9 [37.3 to 37.8]	28.3 ± 3.4 [28.0 to 28.6]	25.3 ± 9.1 [24.0 to 26.6]
Constant	20.2 ± 3.5 [19.7 to 20.7]	24.2 ± 2.5 [24.0 to 24.4]	15.5 ± 3.0 [15.3 to 15.7]	6.8 ± 6.6 [5.9 to 7.7]
UCLA	12.9 ± 1.0 [12.8 to 13.0]	14.5 ± 0.8 [14.4 to 14.6]	11.0 ± 0.8 [10.9 to 11.1]	10.4 ± 2.2 [10.1 to 10.7]
SST	4.4 ± 0.6 [4.3 to 4.5]	3.7 ± 0.5 [3.66 to 3.74]	2.9 ± 0.5 [2.86 to 2.94]	2.5 ± 1.3 [2.3 to 2.7]
SPADI	40.6 ± 4.6 [39.9 to 41.3]	51.3 ± 3.4 [51.0 to 51.6]	43.0 ± 3.7 [42.7 to 43.3]	52.7 ± 6.6 [51.8 to 53.6]
VAS	2.9 ± 0.5 [2.8 to 3.0]	4.0 ± 0.4 [3.97 to 4.03]	2.9 ± 0.4 [2.87 to 2.93]	2.0 ± 1.2 [1.8 to 2.2]
Shoulder Function	3.7 ± 0.5 [3.6 to 3.8]	3.3 ± 0.3 [3.28 to 3.33]	2.7 ± 0.4 [2.67 to 2.73]	3.1 ± 1.0 [3.0 to 3.2]
Active Abduction	23.0 ± 7.1 [22.0 to 24.0]	29.8 ± 4.9 [29.4 to 30.2]	30.8 ± 5.1 [30.4 to 31.2]	23.7 ± 10.0 [22.3 to 25.1]
Active Forward Flexion	28.2 ± 7.0 [27.2 to 29.2]	42.9 ± 5.5 [42.4 to 43.4]	33.7 ± 6.0 [33.2 to 34.2]	25.4 ± 8.8 [24.2 to 26.6]
Active External Rotation	19.1 ± 4.5 [18.5 to 19.7]	12.2 ± 3.0 [12.0 to 12.5]	12.1 ± 2.9 [11.9 to 12.3]	-1.4 ± 6.8 [-2.4 to -0.5]

Table 5. SCB stratified according to age at time of surgery.

Outcome Metric	SCB Female [95% CI]	SCB Male [95% CI]
ASES	30.4 ± 2.7 [30.2 to 30.6]	32.9 ± 3.0 [32.7 to 33.1]
Constant	16.0 ± 2.2 [15.9 to 16.1]	21.9 ± 2.7 [21.7 to 22.1]
UCLA	11.8 ± 0.6 [11.76 to 11.84]	13.3 ± 0.8 [13.2 to 13.4]
SST	3.4 ± 0.4 [3.37 to 3.43]	3.4 ± 0.4 [3.37 to 3.43]
SPADI	51.7 ± 2.8 [51.5 to 51.9]	37.8 ± 3.6 [37.5 to 38.1]
VAS	3.0 ± 0.4 [2.97 to 3.03]	3.4 ± 0.4 [3.37 to 3.43]
Shoulder Function	2.9 ± 0.3 [2.88 to 2.92]	3.1 ± 0.3 [3.08 to 3.12]
Active Abduction	27.5 ± 4.1 [27.2 to 27.8]	28.8 ± 4.7 [28.4 to 29.2]
Active Forward Flexion	34.1 ± 4.5 [33.8 to 34.4]	35.9 ± 5.4 [35.5 to 36.3]
Active External Rotation	9.5 ± 2.7 [9.3 to 9.7]	14.1 ± 2.5 [13.9 to 14.3]

Table 6. SCB stratified according to gender.

Outcome Metric	SCB <36 months [95% CI]	SCB 36-72 months [95% CI]	SCB >72 months [95% CI]
ASES	26.6 ± 3.5 [26.4 to 26.9]	34.0 ± 2.6 [33.8 to 34.2]	39.8 ± 4.7 [39.1 to 40.5]
Constant	14.3 ± 3.2 [14.1 to 14.5]	22.2 ± 2.1 [22.0 to 22.4]	27.3 ± 3.0 [26.9 to 27.7]
UCLA	11.7 ± 0.9 [11.6 to 11.8]	12.8 ± 0.7 [12.75 to 12.85]	15.2 ± 1.1 [15.0 to 15.4]
SST	3.0 ± 0.6 [2.96 to 3.04]	3.4 ± 0.4 [3.37 to 3.43]	5.7 ± 0.5 [5.6 to 5.8]
SPADI	46.2 ± 3.8 [45.9 to 46.5]	41.2 ± 3.2 [41.0 to 41.5]	56.5 ± 4.9 [55.8 to 57.2]
VAS	2.7 ± 0.4 [2.67 to 2.73]	3.3 ± 0.4 [3.27 to 3.33]	4.4 ± 0.7 [4.3 to 4.5]
Shoulder Function	2.8 ± 0.4 [2.77 to 2.83]	3.2 ± 0.3 [3.18 to 3.22]	3.8 ± 0.4 [3.7 to 3.9]
Active Abduction	27.2 ± 5.8 [26.8 to 27.6]	25.0 ± 4.2 [24.7 to 25.3]	36.5 ± 5.7 [35.7 to 37.3]
Active Forward Flexion	32.1 ± 6.0 [31.7 to 32.5]	34.1 ± 5.2 [33.7 to 34.5]	42.8 ± 6.2 [41.9 to 43.7]
Active External Rotation	10.9 ± 3.0 [10.7 to 11.1]	11.7 ± 2.7 [11.5 to 11.9]	12.5 ± 5.0 [11.8 to 13.2]

Table 7. SCB stratified according to length of follow-up.

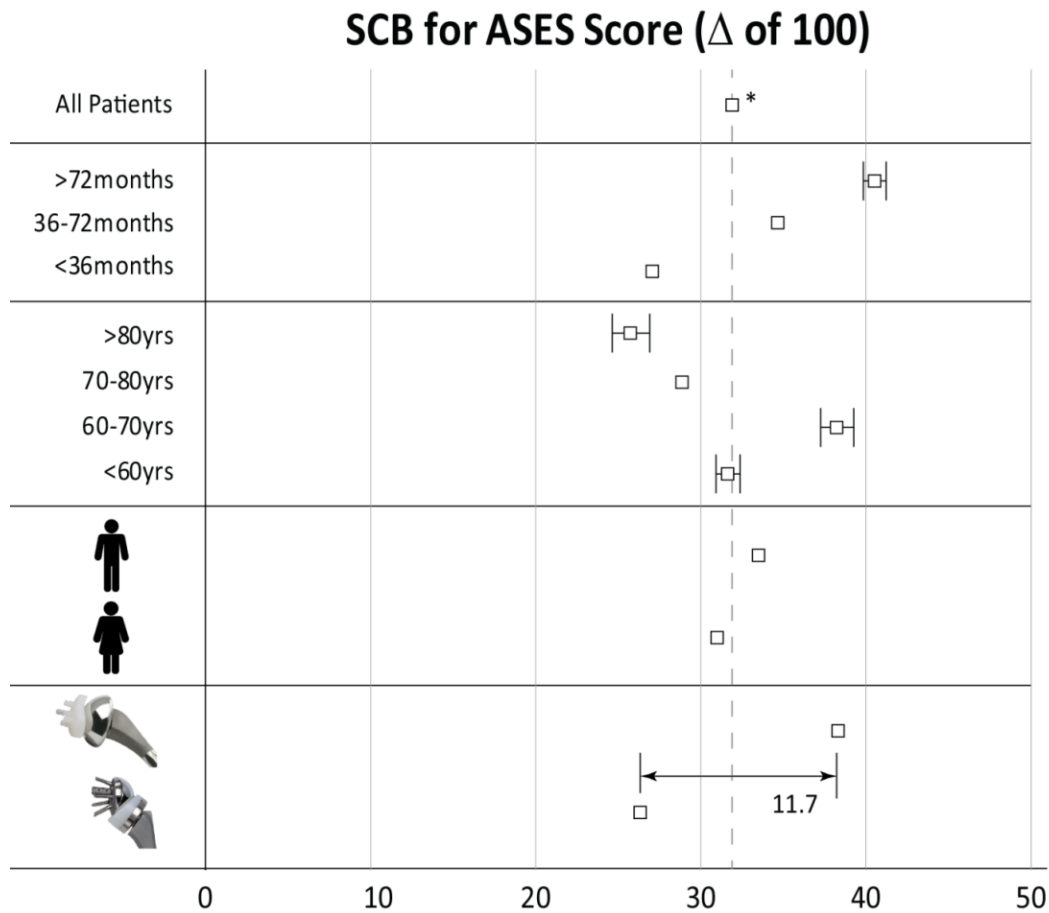


Figure 1. Graphical demonstration of SCB stratified according to time of follow-up, age, gender, and prosthesis type for ASES score.